(19) Europäisches Patentamt
European Patent Office
Office uropéen des brevets

(11) EP 0 449 494 B1

(12) **EUROPEAN PATENT SPECIFICATION**

(45) Date of publication and mention of the grant of the patent:
25.08.1999 Bulletin 1999/34

(51) Int Cl.[6]: **G06F 17/30**

(21) Application number: **91302408.9**

(22) Date of filing: **20.03.1991**

(54) **Method for controlling the transfer of data between heterogeneous data base systems**

Verfahren zur Steuerung der Übertragung von Daten zwischen heterogenen Datenbanksystemen

Procédé pour commander le transfert de données entre des systèmes de base de données hétérogènes

(84) Designated Contracting States:
BE CH DE DK ES FR GB IT LI NL SE

(30) Priority: **27.03.1990 US 500031**

(43) Date of publication of application:
02.10.1991 Bulletin 1991/40

(73) Proprietor: **International Business Machines Corporation**
Armonk, N.Y. 10504 (US)

(72) Inventors:
- **Adair, John Gary**
  Austin, Texas 78759 (US)
- **Coyle, Daniel Jerome, Jr.**
  San Jose, California 95136 (US)
- **Grafe, Robert Joseph**
  Austin, Texas 78758 (US)
- **Lindsay, Bruce Gilbert**
  San Jose, California 95125 (US)
- **Rainsch, Roger Alan**
  Cupertino, California 95014 (US)
- **Resch, Robert Peter**
  Byron, Minnesota 55920 (US)
- **Selinger, Patricia Griffiths**
  San Jose, California 95120 (US)

(74) Representative: **Burt, Roger James, Dr.**
IBM United Kingdom Limited
Intellectual Property Department
Hursley Park
Winchester Hampshire SO21 2JN (GB)

(56) References cited:
- **COMMUNICATIONS OF THE ASSOCIATION FOR COMPUTING MACHINERY. vol. 33, no. 1, January 1990, NEW YORK US pages 70 - 80 CHIN-WAN CHUNG : 'DATAPLEX: An Access to Heterogeneous Distributed Databases'**
- **1988 INTERNATIONAL ZURICH SEMINAR ON DIGITAL COMMUNICATIONS 8 March 1988, ZURICH , SWITZERLAND pages 253 - 259 S. KAWANO ET AL : 'Integrated Utilization of Heterogeneous Database System through a Data Network'**
- **INFORMATIONSTECHNIK IT vol. 29, no. 3, 1987, MUNCHEN BR pages 140 - 153 W. EFFELSBERG : 'Datenbankzugriff in Rechnernetzen'**

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

EP 0 449 494 B1

Description

[0001] This invention relates to the transfer of data between database systems. More particularly, the invention relates to establishing the context in which data exchanged between databases controlled by dissimilar (heterogeneous) relational database management systems can be mutually understood and preserved, and data conversions minimised.

[0002] Currently there is great interest in joining together a plurality of database systems at different sites to form a distributed system which provides any user at any site with access to data stored at any other site; see for example, AN INTRODUCTION TO DATABASE SYSTEMS, Vol. 1, by C. J. Date (4th Edition, 1986), at pp. 587-622. Date envisions that each site would constitute an entire database system with its own database management system (DBMS), terminals, users, storage, and CPU.

[0003] Communications of the Association for Computing Machinery, vol.33, No.1, January 1990, pages 70-80, discloses sharing of data between heterogeneous distributed databases using a common data model and translation to a common standard query language, to overcome problems associated with data duplication and the cost of developing equivalent applications in different languages.

[0004] In a distributed database system such as the type described by Date, the DBMS at any site may operate on a machine type which is different than the machine type of another site. Indeed, there may be as many different machine types as sites. For example, International Business Machines Corporation (IBM) has DBMSs which operate on IBM System/370 machines, IBM AS/400 machines, and IBM PS/2 machines.

[0005] The machines upon which the DBMS of a heterogeneous database system run all represent information in different internal formats. For example, numeric information on IBM PS/2 machines is stored with the bytes in low order to high order sequence. On other machines, such information may be stored in high order to low order sequence. For floating point information, there are IEEE floating point machines and hexadecimal floating point machines. Character information is processed in many different code representations, the choice of which reflects historical or cultural roots.

[0006] As DBMSs grow and evolve over time, they may be embodied in a series of versions or releases. Each of these may require additional information to be exchanged in a distributed database system. When these changes are introduced, all sites must be informed.

[0007] When a database program is written, compiled and executed entirely in one environment (machine and DBMS), it rarely is sensitive to the exact representation of the data which it processes. The data compiled into the program and the data stored in database structures are all represented identically so the operations behave as expected. Thus, a COMPARE command executed in a single database environment can always be made to manipulate data correctly, just by using the high level language operations of the system.

[0008] Thus, given disparity in machine types and the ever-evolving nature of DBMSs, it is inevitable that a distributed database system can be heterogeneous in the sense that any site may manage a database by means of a combination of machine and DBMS which is different from the combination at another site.

[0009] Provision is made in the prior art for solving the problems of machine and system incompatibility in a distributed, heterogeneous database system. Three solutions are of interest.

[0010] The earliest solution may be termed "application beware". This solution usually starts as a connection between identical database systems which grows over time to incorporate some machines which differ slightly from the original. In these solutions, there is no way for the system to automatically handle the differences, with the result that the application program was given this responsibility. If access to heterogeneous databases was needed badly enough, the application was written to make any necessary accommodations.

[0011] The second solution utilises a canonical representation of data. This approach calls for conversion of data into a single, generic (canonical) representation before transport from one database site to another. Superficially, this solves the problem of automating the system to handle differences between differing databases. However, this approach requires many extra conversions which are inefficient, and introduces many conversion errors, making the approach inaccurate. For example, conversion of a floating point number always requires rounding off, with a concomitant loss of accuracy. When converting from one to another floating point representation, say, from IEEE to hexadecimal, precision is lost. In changing from hexadecimal to IEEE, scale is lost. Where character translations are performed, many of the special characters are lost because of lack of equivalence between character codes. In this solution, conversion errors which do occur are introduced at a point in the process far removed from the application. This increases the difficulty of identifying and responding to errors.

[0012] The last solution employs a gateway conversion in which a central facility is responsible for matching any database representation to any other. Ideally this reduces the inefficiency, inaccuracy, and error propensity of the canonical representation since conversions can be avoided when they aren't needed. However, inter-site communication is lengthy, slow, and expensive. The gateway is a single node to which all inter-site paths connect for all interactions. Instead of a request and response between the two participating sites, there are two requests and two responses for every data transfer. When conversions are required, they are still done in a part of the distributed system which is remote from the

application.

[0013] Thus, there is an evident need in distributed, heterogeneous database systems to support effective and accurate exchange of data, while reducing the number of conversions, and the communications overhead. It is also desirable to perform any needed conversion at the site where the data to be converted will be processed.

[0014] The object of the present invention is to provide an improved method and apparatus for transferring data between heterogeneous data base systems.

[0015] The invention relates to a system including a first database management system for managing a database including data having a first data format and a second database management system for managing a database including data having a second data format. The invention is a method for converting data transmitted between a first database management system and a second database management system, in a system including the first database management system for managing a database (40) including data having a first data format and the second database management system for managing a database (50) including data having a second data format, the method including the steps of: storing at the first database management system and at the second database management system respective sets of machine descriptors describing machine data formats and system descriptors describing database language characteristics; establishing a communication link between the first and second database management systems, including each of said first and second database management systems sending to the other one of said first and second database management systems an identifier of its machine and system descriptors, each of said identifiers being in a canonical form understandable by both database management systems; sending from the first to the second database management system a command containing data in a data format native to the first database management system; and converting, at the second database management system, data in the command sent from the first database management system utilising the machine descriptors and system descriptors identified by the first database management system.

[0016] One embodiment of the invention relates to a method for establishing the context in which data exchanged between heterogeneous relational DBMSs can be mutually understood and preserved, and data conversions minimised. Particularly, a sequence of information transfer is described which enables a database to request or receive data expressed in a non-native form. Thus, with the practice of this invention, a DBMS may receive data in a foreign format and itself perform the necessary conversion of the data.

[0017] In order to minimize the number of conversions, a method is provided whereby no data is converted until it is needed in a specific format for processing. This method allows each system to always send all data in its preferred (native) format, or the current format of the data if the data is just passing through an intermediate system without being processed. when data is received, it is converted to the native format of the system only when it has reached its final destination (is being passed to the application, or stored in the database), or when it actually needs to be processed by an intermediate system.

[0018] In this embodiment of the invention, a database in a distributed, heterogeneous database system contains predefined descriptions of all machine environments in the system (machine descriptors) and predefined descriptions of database language structures (system descriptors) for each DBMS with which it can perform data exchange. When a database operation begins which requires two heterogeneous databases to conduct an information exchange, a communication link is established between them. Next, each DBMS identifies its machine and system descriptors to the other. This establishes a data context and is done only once for the life of the communication link. Once established, requests, responses and data can be sent or received. When any data is sent, it is sent in the native format of the sender. Specific descriptions of the data precede the data itself and refer to the machine and system descriptors earlier identified. When the data is received, information contained in the specific descriptions enable these descriptions to be referenced to the machine and language descriptors and passed off to a conversion process in the receiver. Taken together, the specific descriptions, and the machine and system descriptors which they reference, precisely characterise the environment where the data originated and establish, at the receiver, a context for accurate conversion of the data into a format which is native to the machine/system combination of the receiving site.

[0019] The invention reduces the total overhead required in making and responding to a data request by eliminating unnecessary data conversions, thus increasing the speed with which a request for data is serviced. It also minimises the necessary conversion routines needed in each system by requiring conversion only INTO a system's native format, and never FROM its native format into some other format.

[0020] In order that the invention may be more readily understood, an embodiment will now be described with reference to the accompanying drawings, in which:-

FIG. 1A is an illustration of user data which is to be transferred between non-equivalent database systems,

FIG. 1B is a top level representation of the relationships of all descriptors which define the environmental context of the user data illustrated in FIG. 1A,

FIGS. 2A, 2B, 2C and 2D illustrate machine descrip-

tors,

FIGS. 3A and 3B illustrat system descriptors together with their references to machine descriptors,

FIGS. 4A and 4B illustrate user data descriptors of the invention with references to machine and system descriptors.

FIG. 5 illustrates the procedure of an embodiment of the invention in the example of command and data flows to set up and request a set of user data between a requesting and serving machine,

FIG. 6 illustrates a representative system architecture on which an embodiment of the invention may be practised, and

FIG. 7 illustrates the procedure of an embodiment of the invention in the example of command and data flows between receiving and sending machines passing through an intermediate DBMS system.

Description of the Preferred Embodiment

[0021] When used herein, the term "descriptor" means a unit of data used to characterise or categorise information. The term "data object" is taken to mean a data structure element that is necessary for the execution of a program and that is named or otherwise specified by a program. A "reference" is a construct such as a pointer which designates a declared object. Descriptors, data objects, and references are all understood in the context of a programming language. In relational DBMSs, a well-known relational language is SQL.

[0022] An "environmental context" is an information set which describes the database system which originates a block of user data. Data is in "native form" if it is made up of data types and control information in a form used by the database system which is processing it; otherwise, it is "non-native" or "foreign". A database system "environment" is the set of logical and physical resources used to support database management.

[0023] For relational database systems, the SQL language describes several different data types. These types include INTEGER, FLOAT, VARCHAR, and many more. Depending on the machine on which an SQL database manager is implemented, the actual bit representations for data values having SQL data types vary. For example, the IBM System/370 employs a hexadecimal floating point representation, the IBM AS/400 employs the IEEE format, and on IBM OS/2 machines, IEEE byte reversed formats are used. These differences are implied by the machine environment and are not formally exposed to application programs executing in the environments.

[0024] Furthermore, there are many SQL identified standard control blocks, such as the SQL communication area (SQLCA) which is defined in terms of SQL types. The SQLCAs in the machine nvironments defined above are not identical. This invention formalises a method and means for exchanging information between heterogeneous DBMSs about machine characteristics and DBMS language structures such that little or no descriptive information must be exchanged at runtime in order to convert data to a native form. Additionally, when database sites match, even though the data may have been forwarded through intermediate DBMS systems which do not match, no conversions are performed at all, thus preserving completely the integrity of the data being exchanged. When these sites do not match, data conversions are performed close to the ultimate point of use where errors introduced by imperfect conversions can be dealt with according to the needs of the requesting application.

[0025] This invention is described using simple machines, database management systems, and user data. Those skilled in the art will understand that detailed implementations will require support for many more generic data types than are described hereinbelow, many more DBMS information blocks, and user data with many more fields. The extensions to handle these cases are manifest and would only serve to unnecessarily obscure the invention if presented herein.

[0026] FIG. 1A illustrates user data in hexadecimal format. The data are shown in two forms, 10 and 20. The user data 10 appears as it would in a typical personal computer environment. The data 20 has the same meaning as the data 10 except that it appears in the form it would have in a typical mainframe environment.

[0027] The user data forms illustrated in FIG. 1A form the example upon which explanation of the invention is based. When the user data is to be sent from the personal computer environment to the mainframe environment as illustrated by the direction 31-30, it must be changed in form from 10 to 20. Conversely, if the user data is to be sent from the mainframe to the personal computer environment, that is, direction 30-31, it must be changed in form from 20 to 10.

[0028] The actual data being transferred consists of three rows. Each row is an entity containing all of the fields necessary to describe the outcome of an SQL statement. With reference to the rows in each user data, the first fields 11 and 21 are SQL communication areas which describe the outcome of an SQL statement. In this regard the SQL statement is assumed to be a command in the SQL language which results in the manipulation of data in a database. In the example, the data affected by the outcome of the SQL statement consists of five fields. In the first row, the first fields 12 and 22 contain the integer value 100, the second fields 13 and 23 contain the character value "ABC", the third fields 14 and 24 contain the integer value 80, the fourth fields 15 and 25 hold the character value "ZYX", and the last fields 16 and 26 contain th floating point value 12.3.

[0029] The second row of each representation in FIG.

1A consists of an SQL communication area followed by the integer value 200, "DEF", the integer value 160, th characters "WVU", and the floating point value 45.6. Similarly, the third row includes an SQL communication area, integer 300, characters "GHI", integer 240, characters "TSR", and floating point 78.9.

[0030] It will be appreciated that the integers in the user data 10 are in low to high sequence, and in high to low sequence in the user data 20. Characters are coded in ASCII in the personal computer user data and in EBCDIC in the mainframe user data. Floating point is IEEE low to high in fields 16 of the user data and hexadecimal excess-64 in fields 26 of the user data 20. The communication areas 11 in the personal computer machine use formats which are different than the SQL communication areas 21 in the mainframe environment.

[0031] No distinction has yet been made as to which direction the data in FIG. 1A will be transferred. That is, no statement has been made as to which environment is the server and which the receiver of data. The method of this invention is completely symmetric and reversible. However, for the purpose of explanation, assume that a request for user data is made by a personal computer DBMS, that a mainframe DBMS server receives the request, obtains the user data locally, and sends the user data, which is received by the requesting machine. Relatedly, in FIG. 1A, the requested data is user data 20 which must be rendered ultimately into the form represented by user data 10. The invention provides for establishing a context by which the receiver can accept user data 20 and prepare for conversion of that data into the format represented by user data 10. This invention does not concern the actual conversion process itself, but rather with a method and means for delaying the conversion until the data reaches the location where it is to be processed.

[0032] In FIG. 1B, there is illustrated a personal computer machine environment 40 ("receiver") and a mainframe machine environment 50 ("server"). The personal computer environment can include, for example, the IBM PS/2 product programmed with an IBM OS/2 SQL-based database management system. For convenience, the machine name of the personal computer 40 is indicated by reference numeral 41. The DBMS which runs on the machine 40 utilises a code to represent characters and control function meanings. For this purpose, a code page maps all code points to the graphic characters and control function meanings utilised by the DBMS. The code page is represented by reference number 42. The DBMS is a language-based system, and in the example, it is assumed that the DBMS is an SQL-based system. Further, it is assumed that the version or level of the language is SQLAM3. This is denoted by reference numeral 46 in FIG. 1B.

[0033] A complete characterisation of the personal computer environment which processes user data 10 therefore must include an indication of the type of machine which processes the data, representation of machine-level information such as th data typ s which exist in the machine, and information showing in what form the data exists in the machine. In the example of FIG. 1A, the data types are integers, floating point numbers, and character strings. In the personal computer, integers are represented in low to high sequence, characters are in ASCII, and floating point is IEEE low to high. All of this information is represented in the invention by a machine-level representation descriptor. In FIG. 1B, the machine-level representation descriptor for the personal computer machine is indicated by reference numeral 44.

[0034] The context for conversion also requires information describing characteristics of the language in which the DBMS sending the information is written. In this description it is assumed that all of the DBMS sites are written in one or another version of an SQL-based language. In order to accommodate non-identical representations of control information produced by these varying versions, a system-level language characteristic descriptor must be available to the converting machine in order to convert the language-specific portions of the user data. In FIG. 1A, the language-specific portions are the communication areas. In order to provide this system-level information about the language characteristics, the converting machine is provided a system-level information unit descriptor. In FIG. 1B, the system-level descriptor for the personal computer language environment is indicated by reference numeral 45.

[0035] The context is completed by the provision of application level information specific to the user data being transferred. This information is given in the form of an application level user data descriptor. For the personal computer machine environment, this descriptor is indicated by reference numeral 47. The application level descriptor includes a control block for conveying information between cooperating DBMSs. This descriptor is in the form of a prefix appended to the user data which is sent to the receiver. This prefix contains information setting forth the machine and system-level characteristics of the transferred data. The system-level information provides a reference to the system-level information in the system-level descriptor corresponding to the language version of the serving system, as well as a reference to the machine-level information in the machine-level descriptor representing the serving machine. In FIG. 1B, reference numeral 47a represents the system-level reference made in the application level descriptor 47, while reference numeral 47b indicates the machine-level reference made in the descriptor. As FIG. 1B illustrates, reference is also made by the system-level descriptor to the machine-level descriptor. In FIG. 1B, the reference numeral 45a represents the machine-level reference made in the descriptor 45.

[0036] It should be evident that a user data context can be conveyed in whole each time user data is sent to a receiver by appending machine, system, and application level descriptors to the data. Appropriately, this

would be employed in an asynchronous communication environment. In a synchronous communication environment, context could be completely conveyed by transfer of machine and system-l vel descriptors initially, followed by transmission of application level descriptors and binding of the received application level descriptors to the machine and system descriptors at the receiver's site. In either case, the machine and system-level descriptors would have to be transferred, in whole, at least once between the sites. This transfer is not required in this invention.

[0037] Thus, in FIG. 1B, assuming that the mainframe machine 50 is sending data, its machine-level and system-level descriptors 54 and 55 must be made available to the requesting personal computer machine 40 and linked to application level descriptors 57 in order to convert the user data of FIG. 1A from the representation 20 to the representation 10. Relatedly, the descriptors 54, 55 and 57 must be made available to the machine 40. Similarly, for data transferred in the opposite direction, that is, from the personal computer machine 40 to the mainframe machine 50, the descriptors 44, 45 and 47 would have to be made available to the mainframe machine 50 in order to convert user data 10 into the form of user data 20 in FIG. 1A.

[0038] In the practice of this invention, it is asserted that, during the implementation of each DBMS site which participates in a distributed, heterogeneous database system, a decision is made as to which specific machine representations will be supported at the site. It is asserted that the "native" representation of the machine on which the site's DBMS will run is supported. That is, if data from another DBMS identical to the receiving site's DBMS is received, the representation is "native" and requires no conversion. Additionally, other machine types will be supported as partners. Generally, the "non-native" representations of data types will be converted to "native" ones at the receiving site before processing. In the preferred embodiment, at each site, there is maintained a list of acceptable machine partner types at each site. Thus, at the site of the personal computer machine 40, a list of acceptable machine types includes the machine and code page corresponding to identifiers 51 and 52 in the machine 50. The list indexes to a set of descriptors which include the machine-level and system-level descriptors for all acceptable system partners. Thus, if the personal computer machine 40 receives simply identification of the machine and language present at the site 50, these identifications can be brought to the list which will index to the necessary descriptors in the list of descriptors, the indexed descriptors corresponding to the descriptors 54 and 55.

[0039] Therefore, when the personal computer 40 generates a request for data from the DBMS running on the machine 50, the receiver machine 40 sends its machine and system-level name (PS/2, 437, SQLAM3) to the system to which connection is desired, in this case, the machine 50. If the machine 50 finds these names unacceptable (not in its list) then an error is returned to the receiver and the connection is brok n. If the names are acceptable to the machine 50, the machine 50 assumes the status of server and completes connection by sending its machine and system-level names to the receiving machine 40. If these names are acceptable to the receiver, then the connection is established. Otherwise the connection is broken.

[0040] Having established the connection, the receiver sends a data request to the provider/server machine 50. The provider/server constructs a user data descriptor 57 according to the characteristics of the data requested, in this case according to the characteristics of user data 20 in FIG. 1A. In this case, the descriptor is built to reflect the presence of the SQL communication area 21 and the five user data fields 22, 23, 24, 25, and 26. The provider/server machine 50 then sends the descriptor 57 and the user data 20 to the receiver machine 40.

[0041] Upon receipt, the receiver uses the descriptors obtained in response to the machine and system-level identifier sent by the machine 50 and the references contained in the application level descriptor 57 to convert the user data 20 from the server's representations to the receiver's representations for subsequent processing.

[0042] It should be appreciated that the identifiers exchanged between the machine and the user data descriptor must be in a canonical form which is understood by both systems. In the preferred embodiment, this form is defined by a particular code page in EBCDIC. With this common basis for understanding, each site in the distributed system will recognise the identifiers and user data descriptors of any other site.

[0043] Refer now to FIGS. 2A-2D for an understanding of the structure and contents of machine-level representation descriptors according to the invention. The descriptors for four machine types are illustrated. The descriptor 60 represents a machine exemplified by an IBM PS/2 executing a DBMS which uses code page 437 for character coding. The descriptor 70 characterises a mainframe machine of the IBM System/370 type whose DBMS uses code page 037. The descriptor 80 is for an IBM AS/400 machine using code page 037. The descriptor 90 is for an hypothetical machine called OTHER using code page 850 for character encoding. The descriptors in these figures represent the machines in very simplified terms. The representations correspond to generic data types which are recognised and used by all database sites in a distributed system. In the figures, the data types are INTEGER for integer numbers, FLOAT for floating point numbers, and CHARACTER for non-numeric information, such as letters of an alphabet. Each generic data type is also described in terms of a format which is particular to the machine whose descriptor is illustrated.

[0044] The machine-level descriptors of FIGS. 2A-2D are shown as multi-field data objects, with the fields con-

taining information relating to a generic data type or a data type format. Thus, detailed specifications of the INTEGER data type are contained in multi-field sections 62, 72, 82, and 92 of the machine-level descriptors. Detailed specifications of the representation of floating point numbers for these machines are contained in sections 64, 74, 84, and 94 of the descriptors. Character representations specifications are contained in sections 66, 76, 86, and 96 of the descriptors.

[0045] In the illustrated descriptors, each generic data type is identified initially by a marker definition (MD). For integers, the markers are in fields 67, 77, 87, and 97 of the descriptors. The markers for each generic type are identical in each machine descriptor set. They identify unambiguously the generic type of the representation which follows. Each MD is followed a type definition (TD). The type definition shows exactly, to the bit, how the data is represented in the machine identified by the descriptor. Taking integers as an example, the four TDs shown have three different bit representations. Two machines represent, in fields 88 and 78, that integers are binary values with the bytes ordered from high to low significance. One machine uses binary values, but reverses the order of the bytes, storing the low order byte first, as indicated in field 68 of the descriptor 60. The machine represented by the descriptor 90 represents integers in decimal digits in a packed format as indicated by field 98. The TDs shown are not all the same. However, the TDs which are the same mean exactly the same thing and are represented in exactly the same way among the descriptors. Thus, for example, integer high-to-low in fields 78 and 88 of descriptors 70 and 80 is exactly one representation.

[0046] In the invention, the MD-TD pairs can each represent a family of types. Integers of length 1, 2, 3, 4 ... bytes all can share the same descriptor. Similarly, for characters the actual code page to be associated with the type is a separate parameter. The code page specified in the TDs 69, 79, 89, 99 is a default which can be overridden. This is explained below with reference to FIGS. 3A and 3B.

[0047] The significance of a TD is not limited to association with a single generic data type. Thus, the same TD may be used as the representation for several different generic data types or MDs. For example, if there were a generic type called WEIGHT, it could be represented by floating point or integer numbers. It may be the case that different machines would support it differently. Such variation is supported fully by this invention. Thus, a generic data type is named specifically by the MD; how the data type is represented is specified by the TD.

[0048] One additional feature that will be evident to the ordinarily skilled practitioner is that the order of the generic type specifications (MD-TD pairs) is not important to the significance of the machine-level descriptors. The meaning set by the MD is used to establish linkage to an appropriate TD from a higher level descriptor, such as a system-level descriptor.

[0049] FIGS. 3A and 3B illustrate the structure and contents of system-level descriptors and how those descriptors are referenced to machine-level descriptors. In FIGS. 3A and 3B, the system-level descriptors illustrate two different levels of language support. In this regard, the descriptor 100 of FIG. 3A is for a level called SQLAM3 while the descriptor 120 of FIG. 3B is for a level called SQLAM4.

[0050] The system-level descriptor for an SQL information block which includes status of the SQL calls, the SQLCA, consists of markers (MDs), grouping descriptors (GDs), and row descriptors (RDs). Consider now the two system-level descriptors 100 and 120. In these descriptors, the first markers, 102 and 122, respectively, identify groups of fields which are to be included in the SQL communication area. These markers give generic meaning to the group of fields which follows, and are the same at all levels of DBMS implementation. In each descriptor, the group marker is identified as SQLCAGRP.

[0051] In the system-level descriptors, the group markers are followed by grouping descriptors (GD) 104 and 124, which indicate exactly which fields should be included in the SQL communication areas for user data.

[0052] A grouping descriptor has the property that members of its described group are ordered but not yet arrayed into a linear vector of fields.

[0053] Another property of grouping descriptors can be appreciated with reference to FIG. 3A where the grouping descriptor 104 includes machine-level references, in this case, Integer-4 and Character-80. These members of the indicated group are references to data types identified by marker definitions in machine-level descriptors. As FIG. 3A shows, these references provide reference directly to the integer and character MDs of the machine-level descriptor 60 (which is identical with the descriptor of FIG. 2A). The references are indicated by bindings 112 and 114. In the invention, the value specified in a reference is used to override the default length built into the machine descriptor. Thus, reference 114 in FIG. 3A overrides the machine length of its respective integer representation in the machine-level descriptor 60 to four bytes. In FIG. 3B, references 133 and 134 also override the machine length to four bytes for integers.

[0054] In FIG. 3B, the system-level descriptor 120 denotes one more field in the SQL communication area than the previous system-level descriptor 100 for SQLAM3. One more value therefore is returned to status in a CA of user data at this higher level. It is contemplated in the practice of the invention that, during connection processing, both the requesting and receiving DBMS tells the other what to expect. Preferably, the systems agree to operate at the same system descriptor level, and agree to the lower one.

[0055] The group description, 104 in FIG. 3A and 124 in FIG. 3B, is followed by another marker, 106 in FIG. 3A and 126 in FIG. 3B. These markers indicate that a

row description for an SQL communication area is following. In each case, the row descriptor references the group described earlier, 110 in FIG. 3A and 130 in FIG. 3B. This vectorises all of the fields and completes the definition of information which can be exchanged. In this row form, the SQL communication area can be exchanged on commands which do not involve user data, but which do involve system status information.

[0056] The row descriptors 108 and 128 are identical in both levels; they refer to groups which contain differing information (104 and 124), which, in turn, reference different machine descriptors 60 and 70. The row descriptors therefore make an actual block dependent on a language level or version, and make the block specific with regard to an identified machine, as well.

[0057] The system-level descriptor blocks illustrated in FIGS. 3A and 3B of either level can map onto any of the machine descriptors, independent of the order of the descriptors in the machine. The reference is to the MD entries in the machine descriptors. The generic type of field in the SQL communication area is linked to the representation of that generic type in the machine-level descriptor. As thus described, a system-level descriptor can provide a machine-independent way to specify the contents of blocks of information to be exchanged between DBMSs. They provide both group descriptions (which will be used by higher-level descriptors) and row descriptors which describe complete objects which may be exchanged. The row descriptors included in the system-level descriptor can be referenced by another row descriptor to produce an array. This is illustrated and discussed below with reference to FIG. 4. The system-level descriptors are established early in the implementation of a DBMS and assembled into a set. The set required for any level of implementation can be given a name which any other implementation will understand. In this regard, the name of the system-level descriptor 100 in FIG. 3A is "SQLAM3". In FIG. 3B, the name of the descriptor 120 is "SQLAM4". In the practice of the invention, it is these names alone which are exchanged when a communication connection is made, thereby obviating the need to exchange the descriptors themselves.

[0058] Refer now to FIG. 4 for an understanding of the structure and content of a user data descriptor and how its linkages with machine- and system-level descriptors provide a complete context which enables a receiver DBMS to understand and convert user data from non-native to native form. The user data descriptor 200 provides a system-level and machine-level-independent way to describe user data. It is contemplated that this descriptor would not be built until run time, since, particulars of user data are not known until after installation of a DBMS and creation and population of the relational tables in the DBMS, and until receipt of a particular request for data.

[0059] The user data descriptor 200, like the system- and machine-level descriptors discussed previously, consists of markers and other descriptors. A first marker MD 211 tags a group 212 consisting of an SQL communication area reference 213 to the system-level descriptor 100, and five references 213, 214, 215, 216, and 218 to the machine-level descriptor 70. Thes six references correspond to the six fields which make up a respective row of user data 20, which is reproduced for convenience in FIG. 4B. Thus, the group 212 identifies the fields within a row of the user data, field by field, tells what generic type of data is in each field, and specifies the size of the data. For example, the group 212 has a first reference SQLCAGRP-0 which indicates that the first field in a row of user data will consist of an SQL communication area. As shown in FIG. 4B, a communication area "CA" is in the first field 21 of each row of the user data 20. Similarly, the second field, field 22, in a user data row is a two-byte integer, the third field, field 23 is a three-byte character, the fourth field, field 24 is a two-byte integer, the fifth field, field 25 is a three-byte character, while the last field, field 26 is a four-byte floating point number.

[0060] The six references, 213, 214, 215, 216, 218, and 220 result in a group with seven fields, two from the system-level descriptor (Integer-4 and Character-80) and five from the user data. All of these references are independent of a particular language level and a specific machine. It is true that the described data is very much dependent on these descriptive levels, but the key of this invention is that the description of the data is not.

[0061] Returning to the explanation of the user data descriptor 200 in FIG. 4A, the next MD marker 224 tags a RD row descriptor with a reference 226 to the marker 211. This reference is used to define a row of elements in the row of user data. As explained above, such a row would include seven fields, the two fields of the SQL communication area followed by the five user data fields, in order. This completely enumerates the fields for one object which can be exchanged between heterogeneous DBMSs. It includes information in the communication area as to the status related to the request which fetched the data, along with the data. MD 224 is followed by an RD 232 with a modifier "1", which indicates that one copy of each element of the defined group should be included in the final row.

[0062] The final MD 228 tags an RD 234 with a reference 230 to the row descriptor 232. This descriptor is used to make an array or table of the set of rows comprising the user data 20 of FIG. 4B. The modifier "0" in the RD 234 indicates that the referenced row should be repeated as many times as necessary to include all the data which follows. This is of significant importance in queries since it is seldom possible to predict the final number of rows in an answer set before sending the first one (and the user data descriptor) to the receiver.

[0063] In summary, the user data descriptor provides a mode of describing data which is independent of the system-level and machine-level artifacts in the described data. The data described includes DBMS status information and the user data proper. The user data de-

scriptor thus accommodates the definition of any data which a user can retrieve from (or send to) a DBMS. When the user data descriptor is bound to both a system-level descriptor and a machine-level descriptor, actual data can be understood in physical terms. Thus, the references (bindings) to the machine-level descriptor 70 and system-level descriptor 100 give physical meaning to the referencing members of the group 212. It is asserted that these references can be implemented conventionally by standard binding techniques.

[0064] FIG. 5 illustrates a procedure for establishing a conversion context using the data objects whose structures and functions have been described above. The procedure includes generic commands for requesting and completing a communication connection and for requesting and providing data. The procedure of FIG. 5 is illustrated as a simplified sequence which shows only the parameters which must, of necessity, be exchanged for transferring data between requesting and serving machines and establishing the translation context in the receiving machine for conversion of the data. It is reiterated that the procedure of the invention is not concerned with how data is corrected but rather where dated is converted. Particularly the invention enables the receiver of the data to convert the data for establishing particular values for those parameters.

[0065] The structure of FIG. 5 places all of the requesting machine actions in the left-hand side of the drawing and all of the serving machine actions in the right-hand side. Thus, in step 240 a user at the requesting machine first issues a request for data which is maintained by a DBMS in the serving machine. Next, in step 252 the requesting machine executes a REQUEST-CONNECTION command sequence directed to the serving machine. The REQUEST-CONNECTION sequence includes parameters to identify the requesting machine, its character code, and its language level. In the example, the requesting machine is identified as a DBMS executing on a PS/2 personal computer, utilising a specific code page (437) for character coding, and operating at a specific DBMS language level (SQLAM3). The parameters in the REQUEST-CONNECTION step are no more than identifiers. Next, in step 254 the serving machine receives the connection request, validates the machine- and system-level identifiers, and uses these identifications to index to machine- and system-level descriptors. Assuming that the serving machine recognises and possesses the machine- and system-level descriptors identified in the connection request, it executes a COMPLETE-CONNECTION in step 256 including parameters which provide machine- and system-level identification to the requesting machine. In step 258, the COMPLETE-CONNECTION response received from the serving machine is validated in the requesting machine and the identifiers are used to index the appropriate the machine- and system-level descriptors. For example, in the example of FIG. 5, the requesting machine would index to the machine and system descriptors 70 and 100 illustrated in FIG. 2B, 3B, and 4A. Next, in step 260, the requesting machine assembles a data request and sends it in step 26.1 as a REQUEST-DATA command to the serving machine. This REQUEST_DATA optionally includes an input data descriptor (built in step 260) with optional input data, if appropriate for the SQL to be executed. In step 265, the serving machine receives the REQUEST-DATA command, including optional input data and descriptor. If input data is present, a conversion process is called in step 267. The serving machine responds to the REQUEST_DATA command in step 269 by obtaining the requested user data and building a user data descriptor such as the descriptor 200 illustrated in FIG. 4A, and executes a PROVIDE-DATA command in step 270 by sending the user-data descriptor and the user data as, for example, the first row in the user data 20 in FIGS. 1B and 4B. The requesting machine then, in step 272, receives the transmitted descriptor and user data and calls a conversion process to convert the data. According to the needs of a particular implementation, the bindings between the user data descriptor and the indexed machine and system descriptors can be done in step 272 or left for the called conversion process. In step 274, a second REQUEST-DATA command is sent, its parameters are processed, with the server, in step 276, obtaining and returning the requested user data with another PROVIDE_DATA command (step 278). The requesting machine again, in step 279, processes the data according to the user data descriptor received with the first PROVIDE_DATA command, and to the system level, machine type, and code page values received during the connection portion of the process. Now if the receiver requires more data, it issues another REQUEST-DATA command, with the server returning the data with another PROVIDE-DATA command, and so on.

[0066] An architecture for implementing the procedure of FIG. 5 is illustrated in FIG. 6. In FIG. 6, the requesting machine is assumed to be, as set out above, an IBM PS/2 personal computer, while the server is assumed to be a mainframe of an IBM System/370 type. The requester is identified by reference numeral 280, the server by 282. It is asserted that the requester 280 is conventionally structured with a CPU, storage, a communications adapter for communicating with the serving machine 282, and a user interface for receiving commands from and providing responses to a user. In the storage resides an application program 300, a relational DBMS of the SQL type which includes an SQL application manager 306 and a communications agent 310. In addition, two dictionaries, 342 and 346, are stored which include machine descriptors (dictionary 342) and system-level descriptors (dictionary 346).

[0067] The serving machine 282 can comprise a conventionally-programmed IBM System/370 mainframe computer with an SQL-type DBMS 334. An SQL application manager 318 interfaces a communication agent 314 with the DBMS 334. Two dictionaries 322 and 326

are provided for storing system-level descriptors (dictionary 322) and machine-level descriptors (dictionary 326).

[0068] The process of FIG. 5 is initiated when the application program 300 issues a database management request. For example, the request can be an SQL statement OPEN. This request results in a call 304 to the application manager 306. The receiver's application manager 306 first has to establish a connection with the desired database management system on the serving machine. This is accomplished by constructing a REQUEST-CONNECTION command in accordance with FIG. 5, with parameter values which describe itself (machine and code page designations) and the level of DBMS services desired (in this case, SQLAM3), as well as parameters (not shown) which identify the serving DBMS. This command is passed at 308 to the communications agent 310 which is responsible for establishing the communication link with the identified serving machine. The REQUEST-CONNECTION command is sent over a conventional communications link 312 to the communications agent 314 responsible for the other end of the communication channel. The agent 314 examines the DBMS parameter (FIG. 5) to determine which level of server SQL application manager to invoke. Once the determination and invocation is completed, the agent 314 forwards at 316 the request to the invoked SQL application manager 318. The manager 318 accesses at 320 the DBMS level dictionary 322 to obtain a descriptor which matches the receiver's desired level of function. (In the preferred implementation, the content of this descriptor is known early in the development cycle and for processing efficiency is "built into" the application manager 318.) Similarly, the manager 318 accesses at 324 the identified machine level descriptor from the dictionary 326. This includes descriptors matching the machine designated in the MACH parameter of the REQUEST-CONNECTION command and a code page identified in the CODE PAGE parameter.

[0069] Having verified that the receiver's environment can be handled, the application manager 318 constructs a COMPLETE-CONNECTION command as illustrated in FIG. 5. The command states the serving machine's characteristics in the MACH code page parameters and the level of system function which the serving machine will support in the DBMS parameter. It is contemplated in the invention that the connection steps may repeat in order to support negotiation to a different system level than that originally requested. Next, the COMPLETE-CONNECTION response is returned to the requesting machine 280 by sending it on 328 to the agent 314 which communicates it on the communication link 312 to the receiver agent 310. The receiver agent 310 sends the COMPLETE-CONNECTION command and parameters at 330 to the application manager 306. In a manner similar to the manager 318, the manager 306 accesses the system and machine-level descriptors which describe the server machine and system characteristics from the dictionaries 342 and 346. Having validated that connection has been established, and having obtained the machine- and syst m-level descriptors at both ends of the connection, processing continues. The requester's application manager 306 constructs a REQUEST-DATA command corresponding to the OPEN request from the application program 300. This may include an optimal input data descriptor and input data. This command is sent to the server's application manager 318 via 308, 310, 312, 314 and 316. The application manager 318 recognises a "first request", processes any input data descriptor, converts any input data, and issues an OPEN command 332 to the local DBMS 334, which returns the status in the form of an SQL communication area 336. Next, the manager 318 issues a request on 332 to determine the format of the answer set. The DBMS 334 builds an SQL data area describing the rows which will be returned. This data area is returned on 336 to the server application manager 318 which constructs the user data descriptor for the data. Assuming that data is buffered into the manager 318 and that there is room in the buffer, the server's application manager 318 issues an SQL FETCH request on 332 to the DBMS 334 which returns a first row of data on 336. (It is assumed that the data to be returned corresponds to the user data 20 in FIGS. 1A and 4B.) Next, the server application manager 318 places the data in a reply buffer and issues a PROVIDE-DATA command to send the data to the requester's application manager 306 via 328, 314, 312, 310, and 330. It is contemplated, but not required, that the server's application manager 318 may read ahead to fill buffers in anticipation of the next REQUEST-DATA command.

[0070] Upon receiving data with the first PROVIDE-DATA command, the receiver's application manager 306 processes the user data descriptor to verify correctness and to prepare to convert data subsequently received. Assuming no errors are found, the application manager 306 then sends the result of the OPEN back to the application program 300 on 338. From now on, the receiver's application manager 306 may, but is not required to, read ahead requesting additional buffers from the server's application manager 318 in anticipation of FETCH requests.

[0071] Having successfully opened a Cursor, the application program 300 issues an SQL FETCH which is processed by the receiver's application manager 306. At this time, the receiver's application manager 306 has a row of data to return, and converts the data and returns it on 338 to the application program 300. The application 300 processes the data and SQL communication area received and subsequently issues another FETCH command on 304 to obtain another row of data. The requester's application manager 306 having no data to satisfy this request sends another REQUEST-DATA command to the server's application manager 318 via 308, 310, 312, 314, and 316. The server's application manager 318 having read ahead, has a buffer containing the last

two rows of data. These rows are sent with the PROVIDE-DATA command to the requester's application manager 306 via 328, 314, 312, 310, and 330. The application manager 306 then converts the first row of the buffer using the previously constructed conversion descriptors and passes it on 338 to the application 300.

[0072] To complete the example, the application 300 issues another SQL FETCH request on 304 to request the last row of the answer set. The receiver's application manager 306 converts this last row of data and returns it to the application.

[0073] In this example, end-of-query is understood by the application by content of the result achieved. Additional FETCH requests would be required as would an SQL communication area indicating end-of-query, which would have been constructed to allow the DBMS 334 to signal this condition to the application. However, this is beyond the scope of this invention and is not discussed.

[0074] FIG. 7 illustrates the benefits of the invention in an alternative environment in which a requesting database machine requests data from a serving database machine through an intermediate database server/router. For the purposes of this example, the intermediate server/router machine is not required to perform additional database functions to satisfy the original request. Such function is not, however, precluded by this invention.

[0075] It is further assumed for this illustration that a connection has already been established (as illustrated in FIG. 5) between the requesting machine and the server/router machine, and also between the server/router machine and the serving machine.

[0076] In step 710, the requesting machine assembles a data request, sending a REQUEST-DATA command 720, including (for this example) an input data descriptor and input data. In 730 the server/router machine receives the REQUEST-DATA with the input data descriptor and input data. The server/router machine determines that it does not need to do any processing of the input data for the request, and that the request is to be processed by the serving machine. The server/router machine, therefore, does not do any conversion of the input data. The server/router, ensuring that the active machine and system level descriptors correspond to the requesting machine, forwards the REQUEST-DATA command with the input data descriptor and the input data (still in the native format of the requesting machine) to the serving machine for processing.

[0077] In step 740, the serving machine receives the REQUEST-DATA command, input data descriptor and input data, and calls the conversion process if necessary.

[0078] Notice that, if the native format of the requesting machine is the same as that of the serving machine, no conversion of the input data will be necessary even though the native format of the intermediate server/router machine may be different. Also note that, if the native format of the requesting machin is different than that of the serving machine, only one conversion is performed (at the serving machine) even though the format of the intermediat server/router machine may be different from both the requesting and the serving machine.

[0079] The serving machine accesses the local DBMS in order to build the user data description and obtain the user data. A PROVIDE-DATA command 750 containing the user data descriptor, an SQLCA and the user data (in the serving machine's native format) is then sent to the server/router machine.

[0080] The server/router machine recognises that it is not required to do any processing on the user data, and therefore does not do any conversion of the user data. The server/router 760 ensures that the active machine and system level descriptors correspond to the serving machine, and forwards the PROVIDE-DATA command with the user data descriptor arid user data (still in the native format of the serving machine) to the requesting machine.

[0081] In 770, the requesting machine receives the PROVIDE-DATA command with the user data descriptor and user data, calls the conversion process if necessary, and returns the user data to the user.

[0082] Again notice that, if the native format of the serving and requesting machines are the same, no conversion of the user data is performed, even if the native format of the intermediate server/router machine is different. Also notice that if the native format of the serving and requesting machines are different, only one conversion of the user data (at the requesting machine) is performed even if the native format of the intermediate server/router machine is different from both the serving and requesting machines.

[0083] A data converter is not illustrated as an element in any of the figures, it being understood, that data format conversion is well-known in the art. For example, US -A- 4,559,614 describes in detail how conversion from a first to a second internal code format is accomplished for data transmitted between two dissimilar computer systems.

Claims

1. A method for converting data transmitted between a first database management system and a second database management system, in a system including the first database management system for managing a database (40) including data having a first data format and the second database management system for managing a database (50) including data having a second data format, the method including the steps of:

   storing at the first database management system and at the second database management system respective sets of machine descriptors

describing machine data formats and system descriptors describing database language characteristics;
establishing a communication link between the first and second database management systems, including each of said first and second database management systems sending to the other one of said first and second database management systems an identifier of its machine and system descriptors, each of said identifiers being in a canonical form understandable by both database management systems;
sending from the first to the second database management system a command containing data in a data format native to the first database management system; and
converting, at the second database management system, data in the command sent from the first database management system utilising the machine descriptors and system descriptors identified by the first database management system.

2. The method of claim 1, further including:

at the second database management system, processing the command to produce resulting data, the resulting data being in the data format native to the second database management system;
sending the resulting data in the data format native to the second database management system to the first database management system; and
at the first database management system, converting the resulting data into the data format native to the first database management system utilising the machine descriptors and system descriptors identified by the second database management system.

3. The method of claim 2, wherein the system further inciudes a first database system unit connected between the first database management system and the second database management system, wherein:

the step of sending the command includes receiving the command at the first database system unit and sending the command from the first database system unit to the second database management system without converting the data in the command into a data format native to the first database system unit.

4. The method of Claim 3, wherein the system further includes a second database system unit connected between the first database management system and the second database management system, wherein:

the step of sending the r sulting data includes receiving the resulting data at the second database system unit and sending the resulting data from the second database system unit to the first database management system without converting the data format of the return data into a data format native to the second database system unit.

5. The method of Claim 2, wherein the first database management system is a first version of a database management system and the second database management system is a second version of the database management system, the first and second versions being non-identical.

6. The method of Claim 2, wherein the first database management system is for executing on a first digital computer which represents data in a first internal format and the second database management system is for executing on a second digital computer which represents data in a second internal format, the first and second internal formats being non-equivalent.

7. A method for minimising conversion of data transferred between database managers in a distributed database system including a plurality of database managers each for executing in a respective digital computer, the method comprising the steps of:

storing in association with the first database manager and the second database manager respective sets of machine descriptors describing machine data formats and system descriptors describing database language characteristics;
establishing a communication link between a first digital computer and a second digital computer for executing, respectively, the first and second database managers;
each of said first and second database managers sending to the other one of said first and second database managers an identifier of its associated machine and system descriptors, each of said identifiers being in a canonical form understandable by both database managers;
sending a command containing input data from a first database manager to a second database manager, the input data having a data format used by the first database manager;
at the second database manager, if the second database manager uses a data format which is non-equivalent to the data format used by the first database manager, converting the input data into the data format used by the second

database manager;
at the second database manager, executing the command to produce resulting data in the data format used by the second database manager;
sending the resulting data in the data format used by the second database manager to the first database manager; and
at the first database manager, if the data format used by the first database manager is not equivalent to the data format used by the second database manager, converting the resulting data into the data format used by the first database manager.

8. A method for minimising the conversion of data communicated between a user database manager which controls a first database and a server database manager which controls a second database, the method including the steps of:

storing in association with the user database manager and the server database manager respective sets of machine descriptors describing machine data formats and system descriptors describing database language characteristics;
establishing a communication link between the user database manager and the server database manager, including each of said user and server database managers sending to the other one of said user and server database managers an identifier of its associated machine and system descriptors, each of said identifiers being in a canonical form understandable by both database managers;
sending from the user database manager to the server database manager a command containing input data, the input data having a data format native to the user database manager;
at the server database manager, converting the input data into a data format native to the server database manager;
at the server database manager, executing the command by processing the input data after converting the input data;
in response to executing the command, obtaining resulting data from the second database, the resulting data having the data format native to the server database manager;
sending the resulting data to the user first database manager; and
at the user database manager, converting the resulting data into the format native to the user database manager.

Pat ntansprüche

1. Verfahren zur Umwandlung von Daten, die zwischen einem ersten Datenbankverwaltungssystem und einem zweiten Datenbankverwaltungssystem übertragen werden, in einem System, welches das erste Datenbankverwaltungssystem zur Verwaltung einer Datenbank (40), die Daten beinhaltet, welche ein erstes Datenformat haben, und das zweite Datenbankverwaltungssystem zur Verwaltung einer Datenbank (50), die Daten beinhaltet, die ein zweites Datenformat haben, enthält, wobei das Verfahren die folgenden Schritte einschließt:

am ersten Datenbankverwaltungssystem und am zweiten Datenbankverwaltungssystem Speichern von jeweiligen Gruppen von Maschinendeskriptoren, die Maschinendatenformate beschreiben, und von Systemdeskriptoren, die Datenbanksprachmerkmale beschreiben;

Aufbauen einer Kommunikationsverbindung zwischen den ersten und den zweiten Datenbankverwaltungssystemen, wobei der Aufbau einschließt, dass jedes der ersten und der zweiten Datenbankverwaltungssysteme dem anderen der ersten und der zweiten Datenbankverwaltungssysteme eine Kennung seiner Maschinen- und Systemdeskriptoren sendet, wobei jede der Kennungen eine kanonische Form aufweist, die von beiden Datenbankverwaltungssystemen verstanden wird;

Senden eines Befehls von dem ersten Datenbankverwaltungssystem an das zweite Datenbankverwaltungssystem, der Daten in einem Datenformat enthält, das dem ersten Datenbankverwaltungssystem eigen ist; und

an dem zweiten Datenbankverwaltungssystem Umwandeln von Daten in dem von dem ersten Datenbankverwaltungssystem gesandten Befehl, wobei die Maschinendeskriptoren und die Systemdeskriptoren verwendet werden, die von dem ersten Datenbankverwaltungssystem gekennzeichnet wurden.

2. Verfahren nach Anspruch 1, das des Weiteren folgende Schritte einschließt:

Verarbeiten des Befehls an dem zweiten Datenbankverwaltungssystem, um resultierende Daten zu erzeugen, wobei die resultierenden Daten das Datenformat haben, das dem zweiten Datenbankverwaltungssystem eigen ist;

Senden der resultierenden Daten in dem Datenformat, das dem zweiten Datenbankverwaltungssystem eigen ist, an das erste Datenbankverwaltungssystem; und

an dem ersten Datenbankverwaltungssystem Umwandeln der resultierenden Daten in das Datenformat, das dem ersten Datenbankverwaltungssystem eigen ist, wobei die Maschinendeskriptoren und die Systemdeskriptoren verwendet werden, die von dem zweiten Datenbankverwaltungssystem gekennzeichnet wurden.

3. Verfahren nach Anspruch 2, wobei das System des Weiteren eine erste Datenbanksystemeinheit enthält, die zwischen dem ersten Datenbankverwaltungssystem und dem zweiten Datenbankverwaltungssystem angeschlossen ist, wobei:
der Schritt des Sendens des Befehls den Empfang des Befehls an der ersten Datenbanksystemeinheit und das Senden des Befehls von der ersten Datenbanksystemeinheit an das zweite Datenbankverwaltungssystem ohne Umwandlung der in dem Befehl enthaltenen Daten in ein Datenformat, das der ersten Datenbanksystemeinheit eigen ist, enthält.

4. Verfahren nach Anspruch 3, wobei das System des Weiteren eine zweite Datenbanksystemeinheit enthält, die zwischen dem ersten Datenbankverwaltungssystem und dem zweiten Datenbankverwaltungssystem angeschlossen ist, wobei:
der Schritt des Sendens der resultierenden Daten den Empfang der resultierenden Daten an der zweiten Datenbanksystemeinheit und das Senden der resultierenden Daten von der zweiten Datenbanksystemeinheit an das erste Datenbankverwaltungssystem ohne Umwandlung des Datenformats der Rückgabedaten in ein Datenformat, das der zweiten Datenbanksystemeinheit eigen ist, enthält.

5. Verfahren nach Anspruch 2, wobei das erste Datenbankverwaltungssystem eine erste Version eines Datenbankverwaltungssystems und das zweite Datenbankverwaltungssystem eine zweite Version des Datenbankverwaltungssystems ist, wobei die erste und die zweite Version nicht identisch sind.

6. Verfahren nach Anspruch 2, wobei das erste Datenbankverwaltungssystem auf einem ersten digitalen Rechner ausgeführt werden soll, der Daten in einem ersten internen Format darstellt, und das zweite Datenbankverwaltungssystem auf einem zweiten digitalen Rechner ausgeführt werden soll, der Daten in einem zweiten internen Format darstellt, wobei das erste und das zweite interne Format ungleich sind.

7. Verfahren zur Minimierung der Umwandlung von Daten, die zwischen Datenbankverwaltungsprogrammen in einem verteilten Datenbanksystem übertragen werden, das eine Vielzahl von Datenbankverwaltungsprogrammen enthält, von denen jedes in einem jeweiligen digitalen Rechner ausgeführt werden soll, wobei das Verfahren die folgenden Schritte umfasst:

in Verbindung mit dem ersten Datenbankv r-waltungsprogramm und dem zweiten Datenbankverwaltungsprogramm Speichern von jeweiligen Sätzen von Maschinendeskriptoren, die Maschinendatenformate beschreiben, und von Systemdeskriptoren, die Datenbanksprachmerkmale beschreiben;

Aufbauen einer Kommunikationsverbindung zwischen einem ersten digitalen Rechner und einem zweiten digitalen Rechner, um das erste beziehungsweise das zweite Datenbankverwaltungsprogramm auszuführen;

wobei jedes der ersten und der zweiten Datenbankverwaltungsprogramme an das andere der ersten und der zweiten Datenbankverwaltungsprogramme eine Kennung seiner zugehörigen Maschinen- und Systemdeskriptoren sendet, wobei jede der Kennungen eine kanonische Form aufweist, die von beiden Datenbankverwaltungsprogrammen verstanden wird;

Senden eines Befehls, der Eingabedaten enthält, von einem ersten Datenbankverwaltungsprogramm an ein zweites Datenbankverwaltungsprogramm, wobei die Eingabedaten ein Datenformat haben, das von dem ersten Datenbankverwaltungsprogramm verwendet wird;

wenn das zweite Datenbankverwaltungsprogramm ein Datenformat verwendet, das ungleich dem von dem ersten Datenbankverwaltungsprogramm verwendeten Datenformat ist, Umwandeln der Eingabedaten in das von dem zweiten Datenbankverwaltungsprogramm verwendeten Datenformat an dem zweiten Datenbankverwaltungsprogramm;

Ausführen des Befehls an dem zweiten Datenbankverwaltungsprogramm, um resultierende Daten in dem Datenformat zu erzeugen, das von dem zweiten Datenbankverwaltungsprogramm verwendet wird;

Senden der resultierenden Daten in dem von dem zweiten Datenbankverwaltungsprogramm verwendeten Datenformat an das erste Datenbankverwaltungsprogramm; und

wenn das von dem ersten Datenbankverwaltungsprogramm verwendete Datenformat un-

gleich dem von dem zweiten Datenbankverwaltungsprogramm verwendeten Datenformat ist, Umwandeln der resultierenden Daten in das von dem ersten Datenbankverwaltungsprogramm verwendeten Datenformat an dem ersten Datenbankverwaltungsprogramm.

8. Verfahren zur Minimierung der Umwandlung von Daten, die zwischen einem Benutzer-Datenbankverwaltungsprogramm, das eine erste Datenbank steuert, und einem Server-Datenbankverwaltungsprogramm, das eine zweite Datenbank steuert, übertragen werden, wobei das Verfahren die folgenden Schritte enthält:

in Verbindung mit dem Benutzer-Datenbankverwaltungsprogramm und dem Server-Datenbankverwaltungsprogramm Speichern von jeweiligen Sätzen von Maschinendeskriptoren, die Maschinendatenformate beschreiben, und von Systemdeskriptoren, die Datenbanksprachmerkmale beschreiben;

Aufbauen einer Kommunikationsverbindung zwischen dem Benutzer-Datenbankverwaltungsprogramm und dem Server-Datenbankverwaltungsprogramm, wobei der Aufbau einschließt, dass jedes der Benutzer-Datenbankverwaltungsprogramme und der Server-Datenbankverwaltungsprogramme dem anderen der Benutzer-Datenbankverwaltungsprogramme und der Server-Datenbankverwaltungsprogramme eine Kennung seiner zugehörigen Maschinen- und Systemdeskriptoren sendet, wobei jede der Kennungen eine kanonische Form aufweist, die von beiden Datenbankverwaltungsprogrammen verstanden wird;

Senden eines Befehls, der Eingabedaten enthält, von dem Benutzer-Datenbankverwaltungsprogramm an das Server-Datenbankverwaltungsprogramm, wobei die Eingabedaten ein Datenformat haben, das dem Benutzer-Datenbankverwaltungsprogramm eigen ist;

an dem Server-Datenbankverwaltungsprogramm Umwandeln der Eingabedaten in ein Datenformat, das dem Server-Datenbankverwaltungsprogramm eigen ist;

Ausführen des Befehls an dem Server-Datenbankverwaltungsprogramm, indem die Eingabedaten verarbeitet werden, nachdem sie umgewandelt worden sind;

als Antwort auf die Ausführung des Befehls Abrufen der resultierenden Daten aus der zweiten Datenbank, wobei die resultierenden Daten das Datenformat haben, das dem Server-Datenbankverwaltungsprogramm igen ist;

Senden der resultierenden Dat n an das erste Benutzer-Datenbankverwaltungsprogramm; und

am Benutzer-Datenbankverwaltungsprogramm Umwandeln der resultierenden Daten in das Datenformat, das dem Benutzer-Datenbankverwaltungsprogramm eigen ist.

Revendications

1. Un procédé de conversion de données transmises entre un premier système de gestion de base de données et un deuxième système de gestion de base de données, dans un système comprenant le premier système de gestion de base de données, pour gérer une base de données (40), comprenant des données ayant un premier format de données, et le deuxième système de gestion de base de données pour gérer une base de données (50) comprenant des données ayant un deuxième format de données, le procédé comprenant les étapes consistant à :

mémoriser, sur le premier système de gestion de base de données et le deuxième système de gestion de base de données, des jeux respectifs de descripteurs machine, décrivant des formats de données machine, et de descripteurs système, décrivant des caractéristiques de langage de base de données;

établir une liaison de communication entre le premier et le deuxième systèmes de gestion de base de données, comprenant l'envoi, par chacun desdits premier et deuxième systèmes de gestion de base de données, vers l'autre desdits premier et deuxième systèmes de gestion de base de données, d'un identificateur de ses descripteurs machine et système, chacun desdits identificateurs étant présenté sous une forme canonique compréhensible par les deux systèmes de gestion de base de données;

émettre depuis le premier au deuxième système de gestion de base de données, une instruction contenant des données sous un format de donnés natif vis-à-vis du premier système de gestion de base de données; et

convertir, au deuxième système de gestion de base, les données, se trouvant dans l'instruction et ayant été émises depuis le premier système de gestion de base de données, par utili-

sation des descripteurs machine et des descripteurs système ayant été identifiés par le premier système de gestion d bas de données.

2. Le procédé selon la revendication 1, comprenant en outre :

au deuxième système de gestion de base de données, le traitement de l'instruction pour produire des données résultantes, les données résultantes se présentant sous le format de données natif vis-à-vis du deuxième de gestion de base de données;

envoyer les données résultantes, sous le format de données natif vis-à-vis du deuxième système de gestion de base de données, au premier système de gestion de base de données; et

au deuxième système de gestion de base de données, convertir les données résultantes au format de données natif vis-à-vis du premier système de gestion de base de données, par utilisation des descripteurs machine et des descripteurs système ayant été identifiés par le deuxième système de gestion de base de données.

3. Le procédé selon la revendication 2, dans lequel le système comprend en outre une première unité de système de base de données, connectée entre le premier système de gestion de base de données et le deuxième système de gestion de base de données, dans lequel :
l'étape d'envoi de l'instruction comprend la réception de l'instruction à la première unité de système de base de données, et l'envoi de l'instruction de la première unité de système de base de données au deuxième système de gestion de base de données, sans procéder à la conversion des données, se trouvant dans l'instruction, en un format de données qui soit natif vis-à-vis de la première unité de système de base de données.

4. Le procédé selon la revendication 3, dans lequel le système comprend en outre une deuxième unité de système de base de données, connectée entre le premier système de gestion de base de données et le deuxième système de gestion de base de données, dans lequel :
l'étape d'envoi des données résultantes comprend la réception des données résultantes sur la deuxième unité de système de base de données, et l'envoi des données résultantes de la deuxième unité de système de base de données au premier système de gestion de base de données, sans procéder à la conversion du format de données des données de retour en un format de données natif vis-à-vis de la deuxième unité de système de base de données.

5. Le procédé selon la revendication 2, dans lequel le premier système de gestion de base de données est une première version d'un système de gestion de base de données et le deuxième système de gestion de base de données est une deuxième version du système de gestion de base de données, les première et deuxième versions étant non-identiques.

6. Procédé selon la revendication 2, dans lequel le premier système de gestion de base de données est prévu pour être exécuté sur un premier ordinateur numérique, représentant des données sous un premier format interne, et le deuxième système de gestion de données est prévu pour exécution sur un deuxième ordinateur numérique, représentant des données sous un deuxième format interne, les premier et deuxième formats internes étant non équivalents.

7. Un procédé pour minimiser la conversion de données transférées entre des gestionnaires de base de données dans un système de base de données distribué, incluant une pluralité de gestionnaires de base de données, chacun prévu pour exécution dans un ordinateur numérique respectif, le procédé comprenant les étapes consistant à :

stocker, en association avec le premier gestionnaire de base de données et le deuxième gestionnaire de données, des jeux respectifs de descripteurs machine décrivant des formats de données machine et des descripteurs système décrivant des caractéristiques de langage de base de données;

établir une liaison de communication entre un premier ordinateur numérique et un deuxième ordinateur numérique, pour exécuter, respectivement, les premier et deuxième gestionnaires de base de données;

chacun desdits premier et deuxième gestionnaires de base de données envoyant, à l'autre parmi lesdits premier et deuxième gestionnaires de base de données, un identificateur de ses descripteurs machines et systèmes associés, chacun desdits identificateurs se présentant sous une forme canonique, compréhensible par les deux gestionnaires de base de données;

envoyer une instruction comprenant des donnés d'entrée, depuis un premier gestionnaire

de base de données à un deuxième gestionnaire de base de données, les données d'entrée ayant un format de données utilisé par le premier gestionnaire de base de données;

au deuxième gestionnaire de base de données, si le deuxième gestionnaire de base de données fait utilisation d'un format de données qui n'est pas équivalent au format de données qui est utilisé par le premier gestionnaire de base de données, convertir les données d'entrée au format de données utilisé par le deuxième gestionnaire de base de données;

au deuxième gestionnaire de base de données, exécuter l'instruction pour produire des données résultantes au format de données utilisé par le deuxième gestionnaire de base de données;

envoyer les données résultantes, au format de données utilisé par le deuxième gestionnaire de base de données, au premier gestionnaire de base de données; et

au premier gestionnaire de base de données, si le format de données utilisé par le premier gestionnaire de base de données n'est pas équivalent au format de données utilisé par le deuxième gestionnaire de base de données, convertir les données résultantes au format de données qui est utilisé par le premier gestionnaire de base de données.

8. Un procédé pour minimiser la conversion des données communiquées entre un gestionnaire de base de données utilisateur contrôlant une première base de données et un gestionnaire de base de données serveur contrôlant une deuxième base de données, le procédé comprenant les étapes consistant à :

mémoriser, en association avec le gestionnaire de base de données utilisateur et le gestionnaire de base de donnés serveur, des jeux respectifs de descripteurs machine, décrivant des format de données machine et des descripteurs système décrivant des caractéristiques de langage de base de données;

établir une liaison de communication entre le gestionnaire de base de données utilisateur et le gestionnaire de base de données serveur, y compris l'envoi, par chacun desdits gestionnaires de base de données utilisateur et serveur, à l'autre desdits gestionnaires de base de données utilisateur et serveur, d'un identificateur de ses descripteurs machine et système associés, chacun desdits identificateurs se présentant sous forme canonique, compréhensible par les deux gestionnaires de base de données;

envoyer, depuis le gestionnaire de base de données utilisateur au gestionnaire de base de données serveur, une instruction contenant des données d'entrée, les données d'entrée ayant un format de données natif vis-à-vis du gestionnaire de base de données utilisateur;

au gestionnaire de base de données serveur, convertir les données d'entrée en un format de données natif vis-à-vis du gestionnaire de base de données serveur;

au gestionnaire de base de données serveur, exécuter l'instruction, par traitement des données d'entrée après conversion des données d'entrée;

en réponse à l'exécution d'instruction, obtenir des données résultantes depuis la deuxième base de données, les données résultantes ayant le format de données natif vis-à-vis du gestionnaire de base de données serveur;

envoyer les données résultantes au premier gestionnaire de base de données utilisateur; et

au niveau du gestionnaire de base de données utilisateur, convertir les données résultantes au format de motif vis-à-vis du gestionnaire de base de données utilisateur.

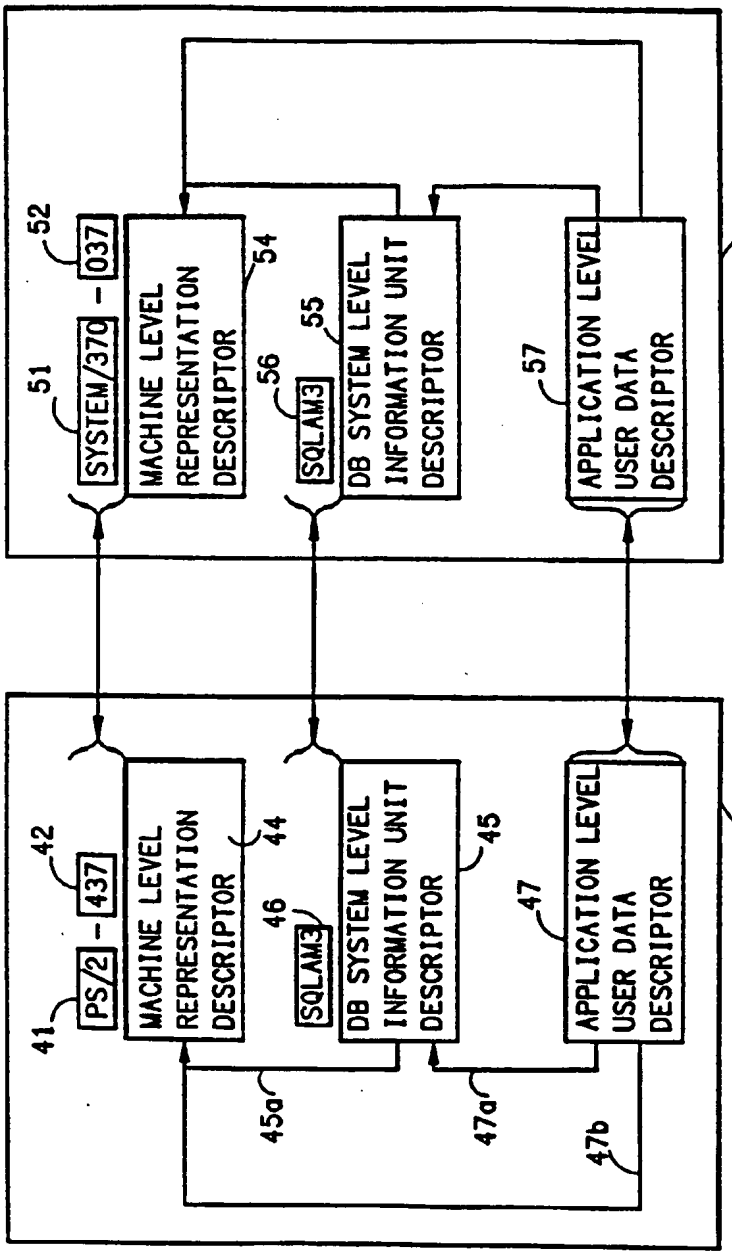

FIG. 1B

11	12	13	14	15	16	10	21	22	23	24	25	26	20							
-CA-	6400	414243	5000	5A5958	CDCC4441		-CA-	0064	C1C2C3	0050	E9E8E7	41C4CCCD								
-CA-	C800	444546	A000	575655	66663642		-CA-	00C8	C4C5C6	00A0	E6E5E4	422D999A								
-CA-	2801	474849	F000	545352	CDCC9D42	31	-CA-	0128	C7C8C9	00F0	E3E2D9	424EE666								

FIG. 1A

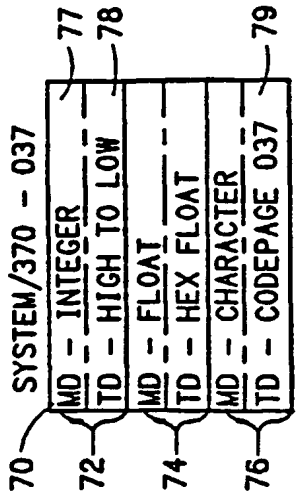

FIG. 2B

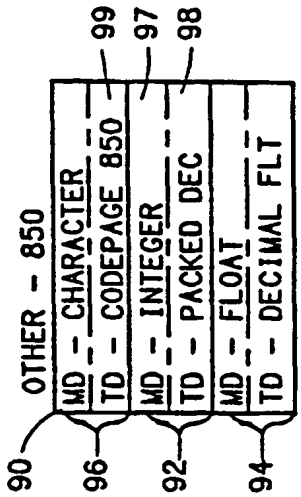

FIG. 2D

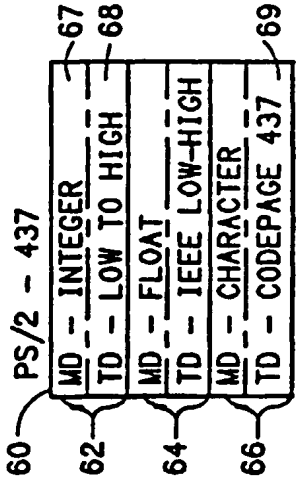

FIG. 2A

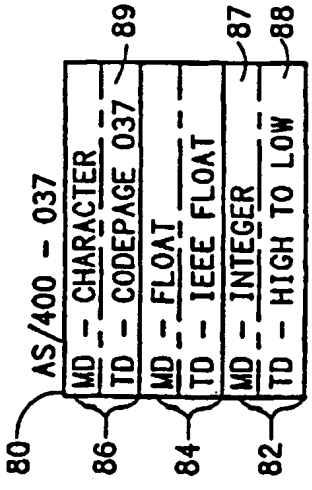

FIG. 2C

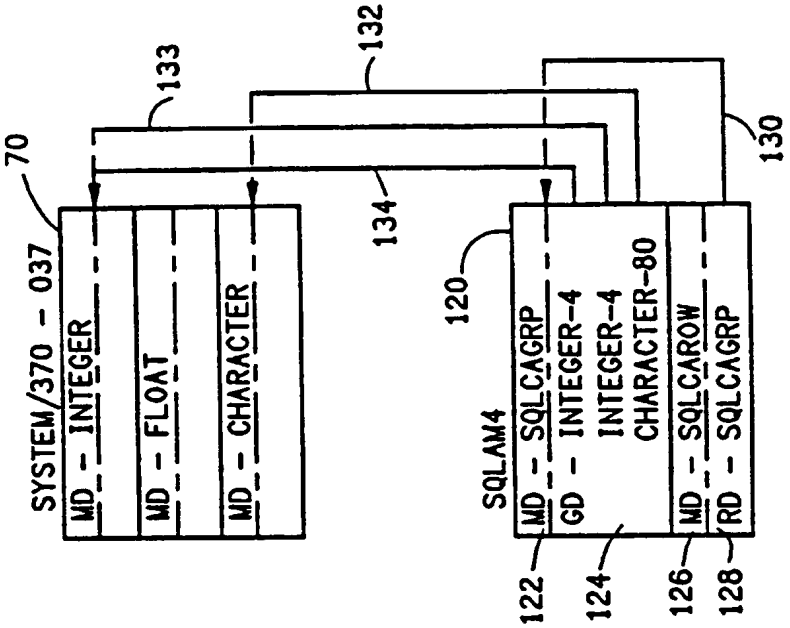
SYSTEM/370 - 037
MD - INTEGER
MD - FLOAT
MD - CHARACTER
70
133
132
134
120
SQLAM4
MD - SQLCAGRP
GD - INTEGER-4
INTEGER-4
CHARACTER-80
MD - SQLCAROW
RD - SQLCAGRP
130
122
124
126
128

FIG. 3B

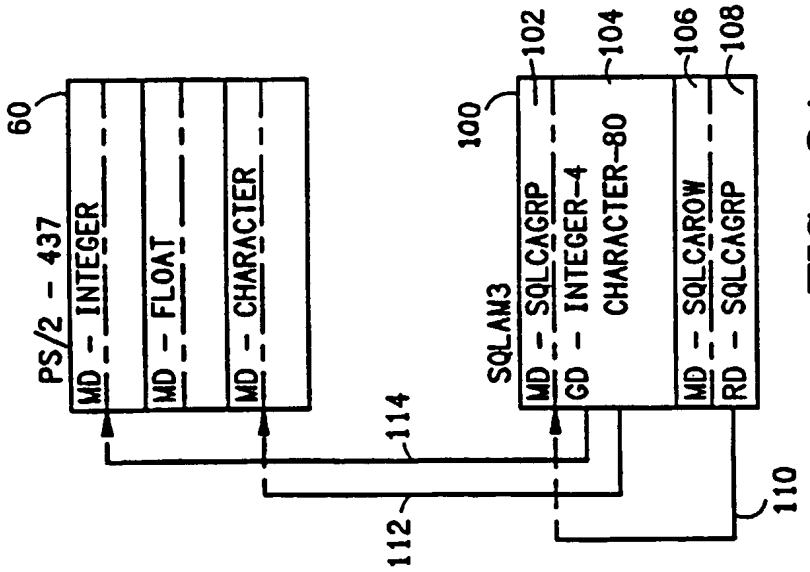
PS/2 - 437
MD - INTEGER
MD - FLOAT
MD - CHARACTER
60
100
SQLAM3
MD - SQLCAGRP
GD - INTEGER-4
CHARACTER-80
MD - SQLCAROW
RD - SQLCAGRP
102
104
106
108
114
112
110

FIG. 3A

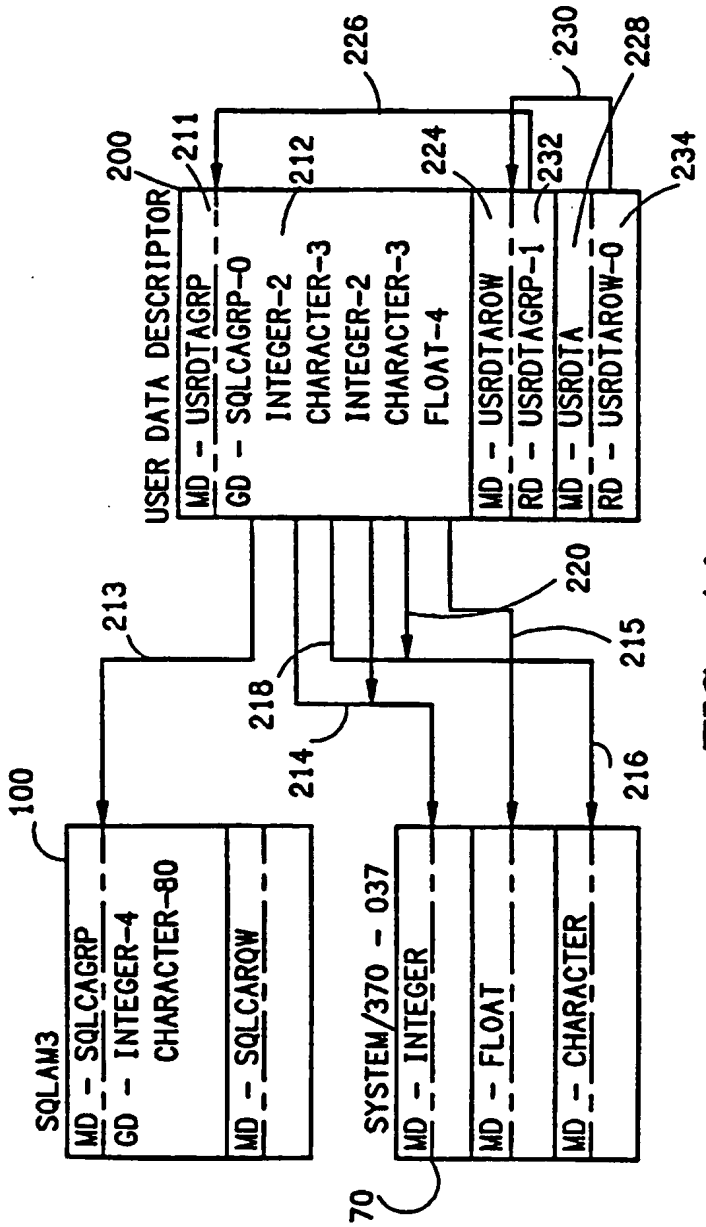
USER DATA DESCRIPTOR
MD - USRDTAGRP
GD - SQLCAGRP-0
INTEGER-2
CHARACTER-3
INTEGER-2
CHARACTER-3
FLOAT-4
MD - USRDTAROW
RD - USRDTAGRP-1
MD - USRDTA
RD - USRDTAROW-0
200
211
212
224
232
234
226
230
228
213
220
215
218
214
216
100
SQLAM3
MD - SQLCAGRP
GD - INTEGER-4
CHARACTER-80
MD - SQLCAROW
SYSTEM/370 - 037
MD - INTEGER
MD - FLOAT
MD - CHARACTER
70

FIG. 4A

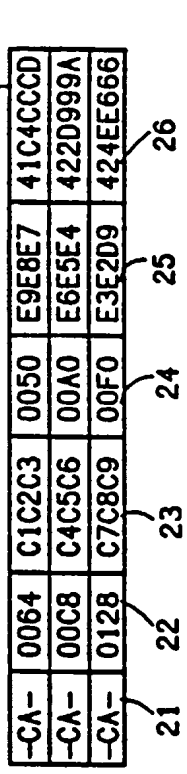
20
-CA-
0064
C1C2C3
0050
E9E8E7
41C4CCCD
-CA-
00C8
C4C5C6
00A0
E6E5E4
422D999A
-CA-
0128
C7C8C9
00F0
E3E2D9
424EE666
21
22
23
24
25
26

FIG. 4B

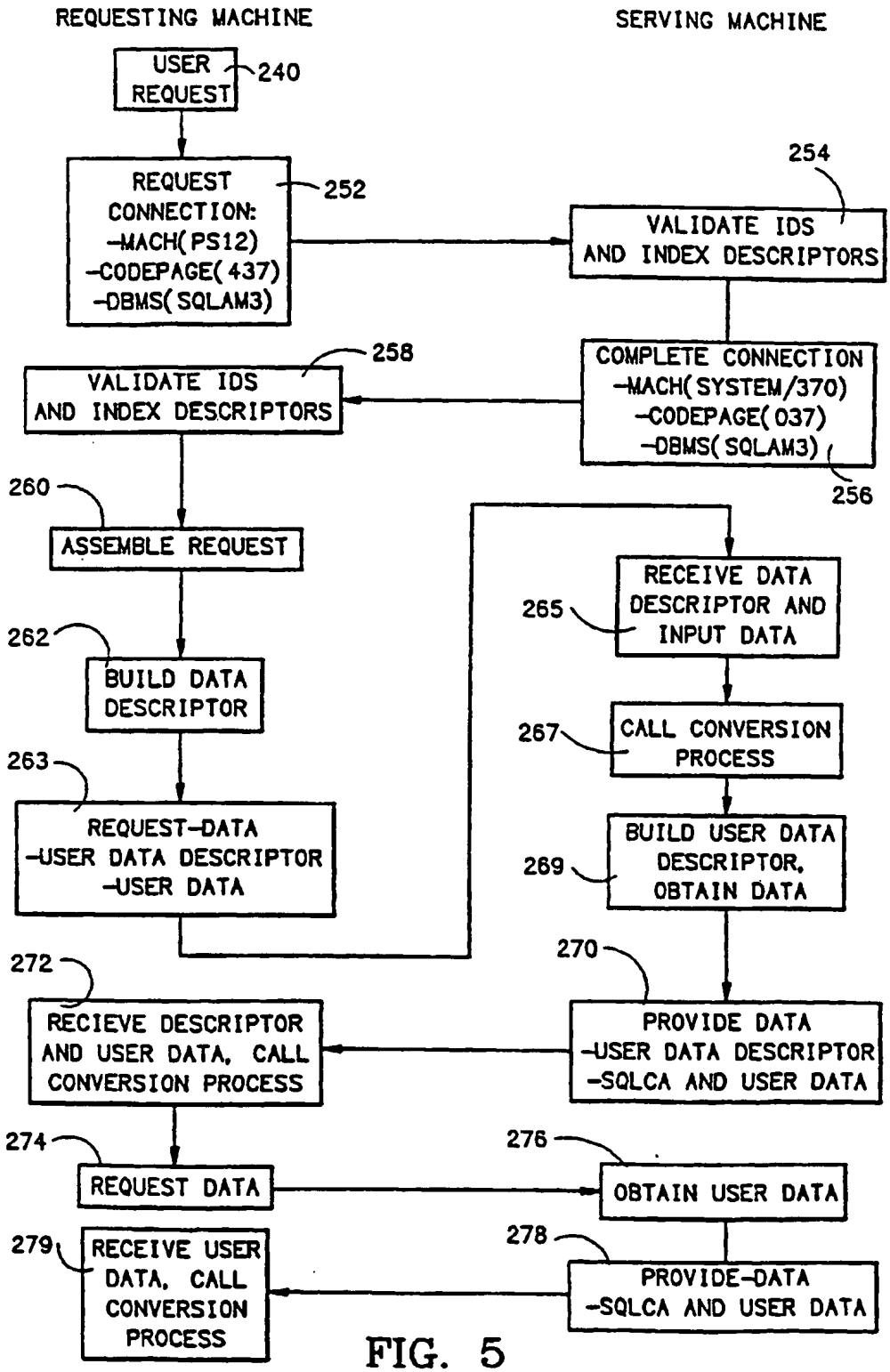
REQUESTING MACHINE
SERVING MACHINE
USER REQUEST
240
REQUEST CONNECTION: -MACH(PS12) -CODEPAGE(437) -DBMS(SQLAM3)
252
254
VALIDATE IDS AND INDEX DESCRIPTORS
COMPLETE CONNECTION -MACH(SYSTEM/370) -CODEPAGE(037) -DBMS(SQLAM3)
256
258
VALIDATE IDS AND INDEX DESCRIPTORS
260
ASSEMBLE REQUEST
262
BUILD DATA DESCRIPTOR
263
REQUEST-DATA -USER DATA DESCRIPTOR -USER DATA
265
RECEIVE DATA DESCRIPTOR AND INPUT DATA
267
CALL CONVERSION PROCESS
269
BUILD USER DATA DESCRIPTOR, OBTAIN DATA
270
PROVIDE DATA -USER DATA DESCRIPTOR -SQLCA AND USER DATA
272
RECIEVE DESCRIPTOR AND USER DATA, CALL CONVERSION PROCESS
274
REQUEST DATA
276
OBTAIN USER DATA
278
PROVIDE-DATA -SQLCA AND USER DATA
279
RECEIVE USER DATA, CALL CONVERSION PROCESS

FIG. 5

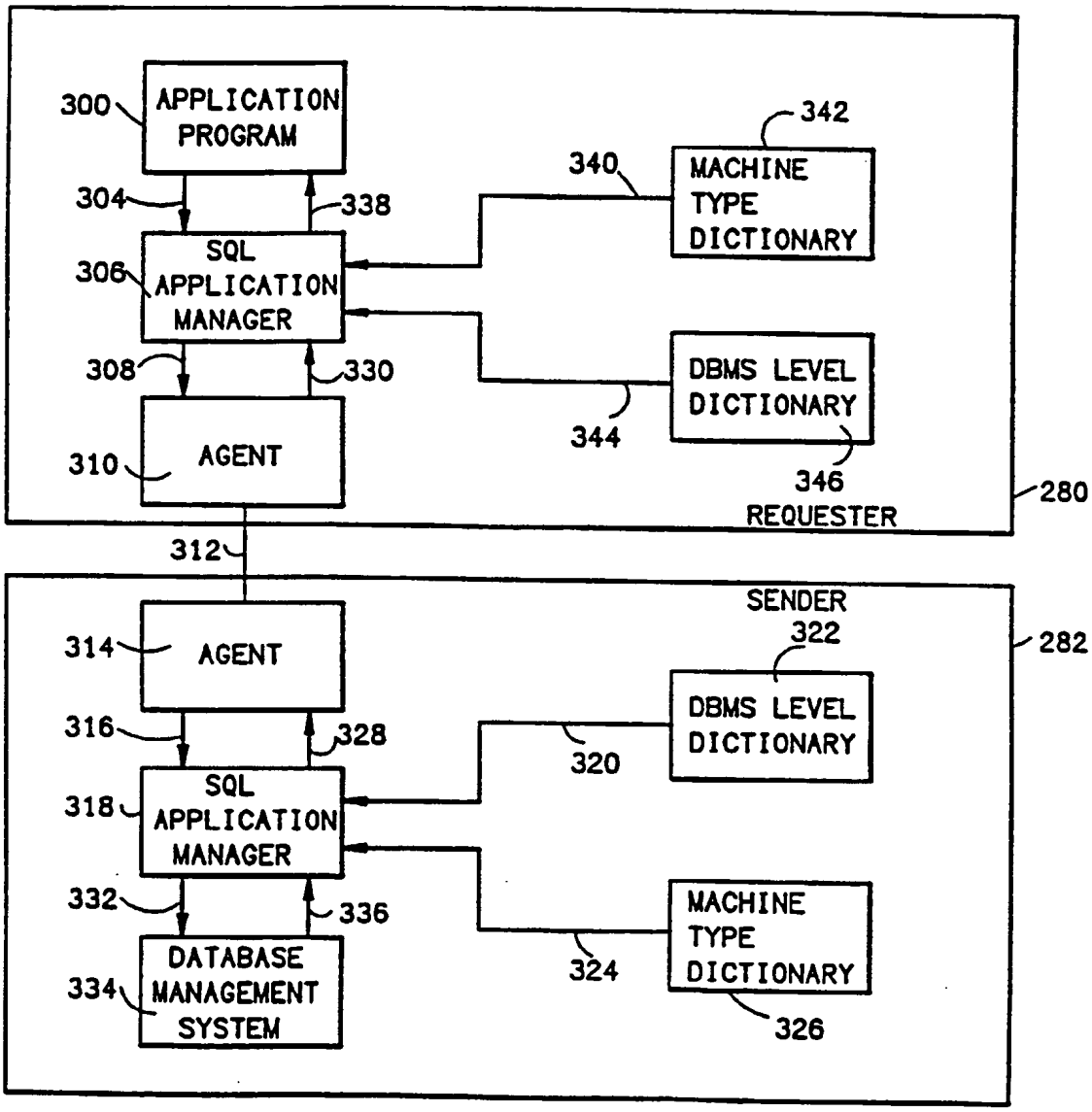
300
APPLICATION PROGRAM
304
338
SQL APPLICATION MANAGER
306
308
330
AGENT
310
340
342
MACHINE TYPE DICTIONARY
DBMS LEVEL DICTIONARY
344
346
REQUESTER
280
312
SENDER
322
282
314
AGENT
316
328
SQL APPLICATION MANAGER
318
320
DBMS LEVEL DICTIONARY
332
336
DATABASE MANAGEMENT SYSTEM
334
324
MACHINE TYPE DICTIONARY
326

FIG. 6

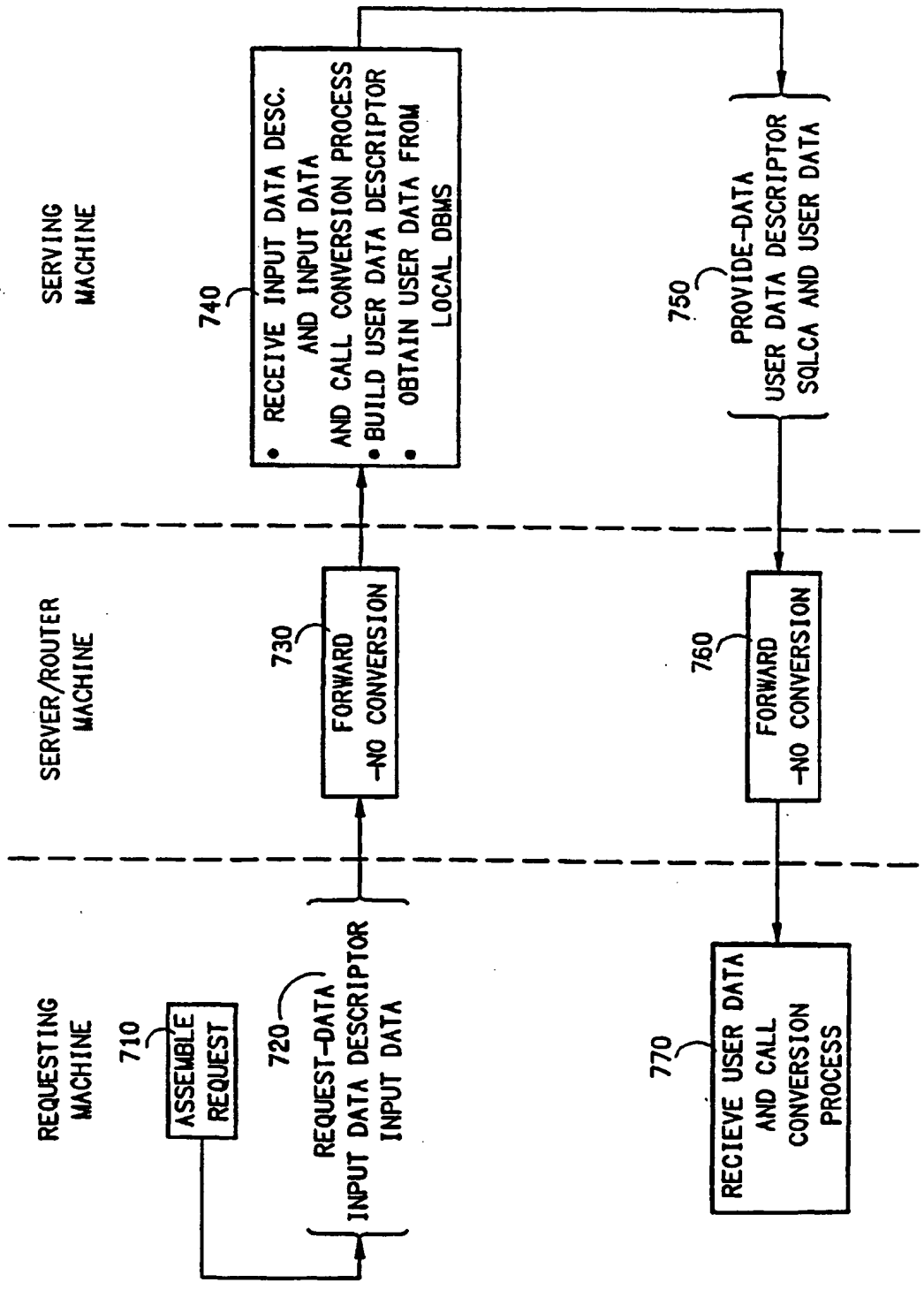
REQUESTING MACHINE
SERVER/ROUTER MACHINE
SERVING MACHINE
710
ASSEMBLE REQUEST
720
REQUEST-DATA
INPUT DATA DESCRIPTOR
INPUT DATA
730
FORWARD
-NO CONVERSION
740
• RECEIVE INPUT DATA DESC. AND INPUT DATA AND CALL CONVERSION PROCESS
• BUILD USER DATA DESCRIPTOR
• OBTAIN USER DATA FROM LOCAL DBMS
750
PROVIDE-DATA
USER DATA DESCRIPTOR
SQLCA AND USER DATA
760
FORWARD
-NO CONVERSION
770
RECIEVE USER DATA AND CALL CONVERSION PROCESS

FIG. 7